

FEB 11 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In the application of: Gawalkiewicz, Jerzy et al.

Serial No: N/A 10/680,601 Art Unit: N/A

Filed: October 17, 2003 Examiner: N/A

Subject: Light Source for Use with a Light Guide, and a Lamp Mounting Arrangement

THE COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, VA 22313-1450
United States of America

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- Copies of the listed documents are enclosed.
 This is a continuing application and copies of the documents were submitted in respect of the parent application No.

Relevance of information not in English - 37 CFR 1.98(a)(3)

- To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Swiss patent No. 675776 (document #20)
 To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Japanese patent No. 2000098246 (document #21)
 Document # discloses
 The relevance of is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

Respectfully submitted


THOMAS ADAMS

Reg. No. 31078

DATE: February 5, 2004

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Docket No. AP941US



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 2

Complete if Known

Application Number	10-686601
Filing Date	October 17, 2003
First Named Inventor	Gawalkiewicz, Jerzy
Art Unit	
Examiner Name	

Attorney Docket Number AP941US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (³ if known)	MM-DD-YYYY		
1	US- 4,505,555		03-19-1985	Piller et al.	
2	US- 5,400,176		03-21-1995	Dreessen et al.	
3	US- 4,998,810		03-12-1991	Sander et al.	
4	US- 1,537,228		05-12-1925	Gargan	
5	US- 4,986,622		01-22-1991	Martinez	
6	US- 5,017,140		05-21-1991	Ascher	
7	US- 5,099,399		03-24-1992	Miller et al.	
8	US- 5,295,052		03-15-1994	Chin et al.	
9	US- 5,653,519		08-05-1997	Dobbs	
10	US- 5,664,864		09-09-1997	Kuth	
11	US- 5,761,356		06-02-1998	Li	
12	US- 5,838,860		11-17-1998	Kingstone et al.	
13	US- 5,961,203		10-05-1999	Schuda	
14	US- 6,027,237		02-22-2000	Riser et al.	
15	US- 6,200,012 B1		03-13-2001	Ouyang	
16	US- 6,201,915 B1		03-13-2001	Rizkin et al.	
17	US- 6,217,205 B1		04-17-2001	Ward	
18	US- 6,382,824 B1		05-07-2002	Prasad et al.	
19	US- 6,422,730 B1		07-23-2002	Koren et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ -Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			
20	CH 675776		10-31-1990	Ulrich et al.		
21	JP 2000098246		04-07-2000	Koji		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Sheet 2

9 | 2

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